



166/900
10-17-99
B. J. H. H.
K. J. H. H.

PATENT
Attorney Docket No. INK-046
(2108/42)

RECEIVED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE OCT 18 1999

APPLICANT(S): Jacobson et al.

GROUP 2700

SERIAL NO.: 09/349,806

GROUP NO.: 2776

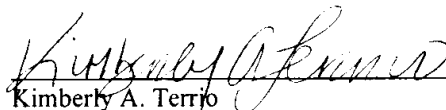
FILING DATE: July 8, 1999

EXAMINER: Not Yet Assigned

TITLE: Methods for Achieving Improved Color in Microencapsulated
Electrophoretic Devices

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 15th day of October, 1999.


Kimberly A. Terrio

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

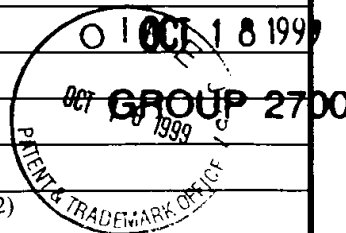
Submitted herewith is/are:

- Transmittal Form (1 page)
- Information Disclosure Statement (5 pages);
- Form PTO 1449 (13 pages)
- Copies of IDS Citations A1-A149; B1-B103; and C1-C37
- Mailroom postcard.

TRANSMITTAL FORM

Application Serial Number	09/349,806
Filing Date	July 8, 1999
First Named Inventor	Jacobson et al.
Group Art Unit	2776
Examiner Name	Not Yet Assigned
Attorney Docket No.	INK-046 (2108/42)

RECEIVED



ENCLOSURES (check all that apply)

- | | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Check Attached
<input type="checkbox"/> Copy of Fee Transmittal Form

<input type="checkbox"/> Amendment/Response
<input type="checkbox"/> After Allowance
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)

<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]

<input type="checkbox"/> Extension of Time Request
<input checked="" type="checkbox"/> Information Disclosure Statement
<input checked="" type="checkbox"/> Form PTO-1449
<input checked="" type="checkbox"/> Copies of IDS Citations A1-A149; B1-B103; and C1-C37

<input type="checkbox"/> Certified Copy of Priority Document(s)

<input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)

<input type="checkbox"/> Formal Drawing(s)

<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition

<input type="checkbox"/> To Convert to a Provisional Application

<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)

<input type="checkbox"/> Terminal Disclaimer

<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application

<input type="checkbox"/> Small Entity Statement

<input type="checkbox"/> Request for Refund

<input type="checkbox"/> After Allowance Communication to Group | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences

<input type="checkbox"/> Appeal Communication to Group (<i>Appeal Notice, Brief, Reply Brief</i>)

<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Return Receipt Postcard

<input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8

<input type="checkbox"/> Additional Enclosure(s) (<i>please identify below</i>) |
|--|---|---|

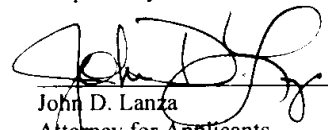
CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110
 Tel. No.: (617) 248-7000
 Fax No.: (617) 248-7100

SIGNATURE BLOCK

Date: October 15, 1999
 Reg. No.: 40,060
 Tel. No.: (617) 248-7604
 Fax No.: (617) 248-7100

Respectfully submitted,


 John D. Lanza
 Attorney for Applicants
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110



PATENT
Attorney Docket No. INK-046
(2108/42)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **RECEIVED**

APPLICANTS: Jacobson et al. **OCT 18 1999**
SERIAL NO.: 09/349,806 GROUP NO.: 2776 **GROUP 2700**
FILING DATE: July 8, 1999 EXAMINER: Not Yet Assigned
TITLE: Methods for Achieving Improved Color in Microencapsulated
Electrophoretic Devices

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. §1.97, Applicants hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the references are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **Filing Date** or before the mailing date of the **First Office Action** on the merits; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **Final Rejection** or **Notice of Allowance**, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under Rule 1.17(p), namely **\$240.00**, is included herein, or
- ☐ (3) after the mailing date of a **Final Rejection** or **Notice of Allowance** but before the payment of the **Issue Fee**, **AND**
- ☐ Applicant hereby Petitions the Commissioner to accept and consider the attached Information Disclosure Statement, **AND**

- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee due under Rule 1.17(i)(I), namely **\$130.00** is included herein.

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application.

STATEMENT

As required under §1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- ☐ 1. [E]ach item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application **not more than** three months prior to the filing date of the Information Disclosure Statement; or
- ☐ 2. [N]o item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any** individual designated in §1.56(c) **more than** three months prior to the filing of the Information Disclosure Statement.

NOTIFICATION OF APPLICATIONS

Applicants would like to bring to the U.S. Patent Office's attention the following co-pending patent applications.

<u>Serial Number</u>	<u>Filed on</u>
U.S.S.N. 08/504,896	July 20, 1995
U.S.S.N. 08/983,404	July 19, 1996
U.S.S.N. 60/022,222	July 19, 1996
U.S.S.N. 60/035,622	September 24, 1996
U.S.S.N. 08/820,057	March 18, 1997
U.S.S.N. 08/819,320	March 18, 1997
U.S.S.N. 60/057,133	August 28, 1997
U.S.S.N. 60/057,716	August 28, 1997

Information Disclosure Statement

Serial No.: 09/349,806

Page 3 of 5

U.S.S.N. 60/057,799	August 28, 1997
U.S.S.N. 60/057,163	August 28, 1997
U.S.S.N. 60/057,122	August 28, 1997
U.S.S.N. 60/057,798	August 28, 1997
U.S.S.N. 60/057,118	August 28, 1997
U.S.S.N. 60/059,543	September 19, 1997
U.S.S.N. 60/059,358	September 19, 1997
U.S.S.N. 08/935,800	September 23, 1997
<u>U.S.S.N. 60/065,630</u>	November 18, 1997
U.S.S.N. 60/065,605	November 18, 1997
U.S.S.N. 60/065,629	November 18, 1997
U.S.S.N. 60/066,147	November 19, 1997
U.S.S.N. 60/066,245	November 20, 1997
U.S.S.N. 60/066,246	November 20, 1997
U.S.S.N. 60/066,115	November 21, 1997
U.S.S.N. 60/066,334	November 21, 1997
U.S.S.N. 60/066,418	November 24, 1997
U.S.S.N. 60/070,940	January 9, 1998
U.S.S.N. 60/072,390	January 9, 1998
U.S.S.N. 60/070,939	January 9, 1998
U.S.S.N. 60/070,935	January 9, 1998
U.S.S.N. 60/071,371	January 15, 1998
U.S.S.N. 60/074,511	February 12, 1998
U.S.S.N. 60/074,454	February 12, 1998
U.S.S.N. 60/076,955	March 5, 1998
U.S.S.N. 60/076,959	March 5, 1998
U.S.S.N. 60/076,957	March 5, 1998
U.S.S.N. 60/076,956	March 5, 1998
U.S.S.N. 60/076,978	March 5, 1998
U.S.S.N. 60/078,363	March 18, 1998
U.S.S.N. 60/081,374	April 10, 1998
U.S.S.N. 60/081,362	April 10, 1998
U.S.S.N. 60/083,252	April 27, 1998
U.S.S.N. 60/085,096	May 12, 1998
U.S.S.N. 60/085,578	May 15, 1998
U.S.S.N. 60/090,223	June 22, 1998
U.S.S.N. 60/090,222	June 22, 1998
U.S.S.N. 60/090,232	June 22, 1998
U.S.S.N. 60/092,046	July 8, 1998
U.S.S.N. 60/092,050	July 8, 1998
U.S.S.N. 60/092,742	July 14, 1998
U.S.S.N. 60/093,689	July 22, 1998
U.S.S.N. 60/096,302	August 12, 1998
<u>U.S.S.N. 09/140,862</u>	August 27, 1998

Information Disclosure Statement

Serial No.: 09/349,806

Page 4 of 5

U.S.S.N. 09/140,792	August 27, 1998
U.S.S.N. 09/141,280	August 27, 1998
U.S.S.N. 09/141,126	August 27, 1998
U.S.S.N. 09/141,041	August 27, 1998
U.S.S.N. 09/141,102	August 27, 1998
U.S.S.N. 09/141,203	August 27, 1998
U.S.S.N. 09/141,040	August 27, 1998
U.S.S.N. 09/140,790	August 27, 1998
U.S.S.N. 09/141,084	August 27, 1998
U.S.S.N. 09/140,844	August 27, 1998
U.S.S.N. 09/140,988	August 27, 1998
U.S.S.N. 09/143,469	August 27, 1998
U.S.S.N. 09/141,448	August 27, 1998
U.S.S.N. 09/141,105	August 27, 1998
U.S.S.N. 09/140,856	August 27, 1998
U.S.S.N. 09/140,860	August 27, 1998
U.S.S.N. 09/140,879	August 27, 1998
U.S.S.N. 09/140,846	August 27, 1998
U.S.S.N. 09/141,103	August 27, 1998
U.S.S.N. 09/140,845	August 27, 1998
<u>U.S.S.N. 09/140,861</u>	August 27, 1998
U.S.S.N. 60/103,384	October 7, 1998
U.S.S.N. 60/103,398	October 7, 1998
U.S.S.N. 60/103,364	October 7, 1998
<u>U.S.S.N. 09/172,958</u>	October 14, 1998
U.S.S.N. 60/105,024	October 20, 1998
U.S.S.N. 60/106,713	November 2, 1998
U.S.S.N. 60/107,039	November 3, 1998
<u>U.S.S.N. 09/189,254</u>	November 10, 1998
<u>U.S.S.N. 09/206,310</u>	December 7, 1998
U.S.S.N. 60/112,272	December 15, 1998
U.S.S.N. 60/112,330	December 15, 1998
U.S.S.N. 60/112,583	December 17, 1998
U.S.S.N. 60/112,882	December 18, 1998
U.S.S.N. 60/113,078	December 21, 1998
U.S.S.N. 60/113,523	December 22, 1998
U.S.S.N. 60/113,418	December 22, 1998
U.S.S.N. 60/115,052	January 8, 1999
U.S.S.N. 60/118,794	February 4, 1999
U.S.S.N. 60/119,393	February 10, 1999
U.S.S.N. 60/122,068	March 1, 1999
U.S.S.N. 09/272,716	March 18, 1999
U.S.S.N. 60/127,965	April 6, 1999
U.S.S.N. 60/127,964	April 6, 1999

U.S.S.N. 09/289,507	April 9, 1999
U.S.S.N. 09/289,036	April 9, 1999
U.S.S.N. 09/300,585	April 27, 1999
U.S.S.N. 60/131,790	April 30, 1999
U.S.S.N. 60/132,303	May 3, 1999
U.S.S.N. 60/132,178	May 3, 1999
U.S.S.N. 60/132,644	May 5, 1999
U.S.S.N. 60/132,642	May 5, 1999
U.S.S.N. 60/132,643	May 5, 1999
U.S.S.N. 09/310,300	May 12, 1999
U.S.S.N. 60/132,245	May 13, 1999
U.S.S.N. 09/338,412	June 22, 1999
U.S.S.N. 60/142,003	July 1, 1999
U.S.S.N. 09/349,808	July 8, 1999
U.S.S.N. 09/349,806	July 8, 1999

FEE AUTHORIZATION

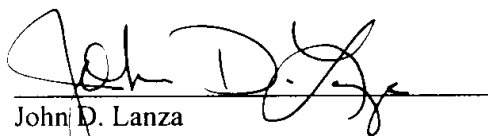
Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 20-0531. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,

Date: October 15, 1999
Reg. No. 40,060

Tel. No.: (617) 248-7604
Fax No.: (617) 248-7100

412JDL2108/42.A869874-1


John D. Lanza
Attorney for the Applicants
Testa, Hurwitz, & Thibault, LLP
High Street Tower
125 High Street
Boston, Massachusetts 02110